

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1430 Alexandria, Virginia 22313-1450 www.uspto.gov

 APPL NO.
 FILING OR 371 (c) DATE
 ART UNIT (c) DATE
 FIL FEE REC'D
 ATTY DOCKET NO
 DRAWINGS
 TOT CLMS
 IND CLMS

 10/649,472
 08/27/2003
 1765
 750
 TS00-485B
 6
 8
 1

*George O. Saile 28 Davis Avenue Poughkeepsie, NY 12603 FILING RECEIPT

CONFIRMATION NO. 5371

OC00000011382815

Date Mailed: 12/01/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Juing-Yi Cheng, Chi Shange Town, TAIWAN; Kevin Su, Jubei City, TAIWAN;

Assignment For Published Patent Application

TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/981,436 10/18/2001 PAT 6,638,866

Foreign Applications

If Required, Foreign Filing License Granted: 11/29/2003

Projected Publication Date: 03/11/2004

Non-Publication Request: No

Early Publication Request: No

Title

Novel chemical-mechanical polishing (CMP) process for shallow trench isolation

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING: LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



GEORGE O. SAILE & ASSOCIATES 28 DAVIS AVENUE POUGHKEEPSIE, NY 12603

March 3, 2004

To:

Mail Stop: Application Number

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

From: Stephen B. Ackerman

Subject:

Serial No.:

10/649,472

Filing Date: 08/27/03

Inventor:

J. Y. Cheng, et al.

Title:

Novel Chemical-Mechanical Polishing (CMP) Process for

Shallow Trench Isolation

REQUEST TO CORRECT FILING RECEIPT

Dear Sir:

On the copy of the enclosed Filing Receipt, the Attorney Docket Number is incorrect. The correct Attorney Docket Number is TS00-484B.

Please make the necessary change to correct the error on the Filing Receipt.

If there are any questions, please contact the undersigned attorney at (845) 452-5863. Thank you for your attention to this matter.

Respectfully Submitted,

Stephen B. Ackerman, Reg. No. 37,761